

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/696,208
Filing Date	2003-10-29
First Named Inventor	WALTON; Jay R.,
Art Unit	2617
Examiner Name	CUMMING, William D.

Sheet	1	of	2	Attorney Docket No: 010454D1
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	001	US5268938	12-07-1993	FEIG; Ephraim, et al.	
	002	US6011980	01-04-2000	NAGANO; Tomonori, et al.	
	003	US6219377	04-17-2001	LINZ; Alfredo R.	
	004	US6519292	02-11-2003	SAKODA; Kazuyuki, et al.	
	005	US6563786	05-13-2003	NEE; Richard Van	
	006	US6570912	05-27-2003	MIRFAKHRAEI; Khashayar	
	007	US6584144	06-24-2003	ALAMOUTI; Siavash, et al.	
	008	US7054375	05-30-2006	KANNAN; Anand, et al.	
	009	US7151740	12-19-2006	ZHANG; Hongliang, et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T 6
		Country Code ³ - Number ⁴ -Kind Code ⁵ (if known)				
	010	JP07038496	02-07-1995	HITACHI LTD.		<input checked="" type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	011	INTERNATIONAL SEARCH REPORT - PCT/US/02/33928, INTERNATIONAL SEARCH AUTHORITY - EUROPEAN PATENT OFFICE - 02-26-03 (010454WO).	<input type="checkbox"/>
	012	Written Opinion - PCT/US02/033928, IPEA/US, 2003-08-20 (010454WO).	<input type="checkbox"/>

EXAMINER SIGNATURE

DATE CONSIDERED

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Sheet	2	of	2	Attorney Docket No: 010454D1
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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.

- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/jameshunyanceyjr/	Date (YYYY-MM-DD)	2010-12-17
Name/Print	JAMES HUNT YANCEY, JR.	Registration Number	53809

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**